

ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

TTAB Proceedings: Oppositions, Cancellations, and Appeals

Introduction: The Trademark Trial and Appeal Board (TTAB) is the administrative tribunal within the USPTO that **adjudicates trademark disputes** involving applications or registrations. It does not decide trademark *infringement* (those cases go to federal courts), but it handles legal challenges related to whether a trademark should be registered. Foreign attorneys may rarely encounter an equivalent of the TTAB in their home countries; in the U.S., it serves as a specialized forum for both **ex parte appeals** (by applicants, appealing an examiner's refusal) and **inter partes cases** (between two parties, such as oppositions and cancellations). This white paper explains the TTAB's role, the common types of proceedings, the procedures and timelines for each, and provides examples of TTAB cases to illustrate how outcomes can protect trademark rights. The goal is to demystify TTAB practice so that overseas counsel can confidently work with U.S. counsel or refer matters to firms like ZYL Law when a TTAB action is needed.

What is the TTAB and Why Does It Matter?

The **Trademark Trial and Appeal Board (TTAB)** is often described as the USPTO's "court" for trademark matters. It is composed of administrative trademark judges who are specialists in trademark law. The TTAB's jurisdiction covers: (1) **Appeals** from final refusals of applications by USPTO examining attorneys (ex parte cases), and (2) **Opposition or Cancellation proceedings** against applications or registrations (inter partes cases between private parties) (<u>Fundamentals of the Trademark Trial and Appeal</u> <u>Board Opposition Process - The Rapacke Law Group</u>). In essence, the TTAB decides whether a trademark *can* be registered or remain registered, not whether someone is



Expert U.S. IP Solutions for Global Success

infringing someone else's mark in the marketplace (no damages or injunctions are awarded by TTAB).

Key points about TTAB proceedings:

- They are administrative (within the USPTO) but adversarial. Procedures are governed by statutes, TTAB rules, and the Federal Rules of Civil Procedure and Evidence (with some modifications) (How a TTAB Trademark Opposition or Cancellation Works and What It Costs | Stake). In many ways, a TTAB case looks like federal court litigation on paper: there are pleadings, discovery, motions, trial evidence, and briefs. However, all testimony is typically submitted in writing or by deposition, and no live jury or courtroom trial occurs the Board decides the case based on the written record and oral arguments if requested.
- Representation: Just like in prosecution, foreign-domiciled parties in TTAB cases must be represented by U.S. attorneys. U.S. licensed attorneys can represent either party in inter partes cases or the applicant in appeals. The TTAB has its own online filing system (ESTTA) and docket system (TTABVUE) that counsel will use.
- Importance for Foreign Clients: TTAB proceedings can directly impact a client's ability to secure or keep a U.S. registration. For example, if your client's U.S. application is opposed by a competitor, failing to respond will result in abandonment of the application by default. Conversely, if a competing mark is approved that could damage your client's brand, initiating an opposition might be necessary to block it. Understanding TTAB processes allows you to anticipate these scenarios. Also, winning a TTAB appeal or opposition can be a cost-effective way to resolve a dispute without going to court, albeit limited to registration issues.

We will now detail the **common types of TTAB actions** – Oppositions, Cancellations, and Appeals – and outline how each typically proceeds.



Expert U.S. IP Solutions for Global Success

Trademark Oppositions (Pre-Registration Disputes)

Opposition proceedings occur during the application process, after the USPTO has approved a mark for publication but before it is registered. If someone (usually the owner of an earlier trademark) believes they would be *damaged* by the registration of the published mark, they may file a **Notice of Opposition** to prevent the mark from registering (Fundamentals of the Trademark Trial and Appeal Board Opposition Process - The Rapacke Law Group). Key facts about oppositions:

- An opposition must be filed within 30 days after the mark's publication in the Official Gazette (Fundamentals of the Trademark Trial and Appeal Board Opposition Process The Rapacke Law Group). However, an extension of time is available an interested party can request an initial 30-day extension, and up to 90 days more for good cause (or up to 150 days with consent of the applicant), not to exceed 180 days from publication in total (Fundamentals of the Trademark Trial and Appeal Board Opposition Process The Rapacke Law Group). If no opposition or extension is filed in time, the window closes and the mark will proceed (or the opponent's only recourse is a cancellation after registration).
- Grounds for Opposition: The most common ground is likelihood of confusion with the opposer's mark (Lanham Act §2(d)) (<u>Trademark Opposition Process and Period Timeline Attorney Lawyer</u>). Essentially, the opposer argues that the applied-for mark is too similar to their earlier used or registered mark for related goods/services, and thus its registration should be refused to avoid confusion. Other grounds include the mark being descriptive or generic, the mark being misleading or creating a false association, dilution of a famous mark, the applicant lacking a bona fide intent to use, etc. The opposer must have standing (a real interest, generally proven by ownership of a conflicting mark or other harm) to file the opposition (<u>Trademark Opposition Process and Period Timeline Attorney Lawyer</u>).



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

• **Parties:** The party who files the opposition is the **Opposer**, and the party who applied for the mark becomes the **Applicant/Defendant** in the proceeding. An opposition is essentially *X vs. Y* before the TTAB, with the opposer trying to stop Y's application.

When an opposition is filed, the TTAB **institutes** the proceeding and sets a schedule (deadlines for answer, discovery, trial, etc., as detailed below). If the applicant (defendant) does not file an answer by the deadline (usually 40 days from the mailing date of the institution order), default judgment can be entered, which means the opposition is sustained and the application is abandoned.

Oppositions are fairly common in the U.S., especially for high-value marks. It is one way companies police their trademarks. According to USPTO data, the number of oppositions has been rising in recent years (<u>Trademark Opposition - Process and Period Timeline</u> <u>Attorney Lawyer</u>), reflecting active enforcement by brand owners.

Trademark Cancellations (Post-Registration Disputes)

A **cancellation** proceeding is similar to an opposition, except it targets a mark that has **already registered**. A party who believes they are or will be damaged by a registration can file a **Petition to Cancel** that registration, in whole or in part. Notable aspects of cancellations:

• **Timing:** A cancellation can be filed **at any time**, but the available grounds depend on how long the mark has been registered. In the first five years after registration, a mark can be cancelled on any ground that would have prevented registration initially (e.g. likelihood of confusion, mere descriptiveness, etc.), similar to an opposition (<u>At a glance: trademark registration and use in the USA - Lexology</u>). After five years (when a mark becomes "incontestable" subject to certain



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

exceptions), the grounds narrow to those specified in Section 14 of the Lanham Act: primarily abandonment, fraud, genericness, functionality, or that the mark violates certain statutory prohibitions (e.g. it became a common descriptive name, or was registered under a misrepresentation) (At a glance: trademark registration and use in the USA - Lexology) (At a glance: trademark registration and use in the USA - Lexology) (At a glance: trademark registration and use in the USA - Lexology). Abandonment (non-use with no intent to resume) is a common ground at any time – non-use for **3 consecutive years** is prima facie evidence of abandonment (At a glance: trademark registration and use in the USA - Lexology). For example, if a company has a U.S. registration but stopped using the mark years ago, your client could petition to cancel that registration to clear the way for their own mark.

- Grounds: As noted, grounds mirror those for opposition if within 5 years (including likelihood of confusion or descriptiveness). After 5 years, you cannot cancel for confusion or descriptiveness; you would need a ground like the mark became generic, was functional, or the registration was obtained by fraud, etc. (At a glance: trademark registration and use in the USA Lexology). Abandonment is a universally applicable ground: if the registrant isn't actually using the mark and has no intent to resume use, the mark should not remain on the register (At a glance: trademark registration and use in the USA Lexology). Another ground even after 5 years is if the mark is causing dilution of a famous mark (for registrations under certain circumstances). Each ground has specific legal criteria to prove.
- Parties: The one who files is the Petitioner (plaintiff) and the registrant is the Respondent (defendant). As with oppositions, the petitioner must have a legitimate interest (standing), typically demonstrated by saying "Petitioner has filed an application for a similar mark" or "Petitioner is using a mark and is damaged by the continued registration of respondent's mark," etc.



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

The procedure for cancellations is nearly identical to oppositions. The TTAB will institute the proceeding, the registrant must answer the petition, and then the case goes through discovery and trial. One strategic consideration: if the contested registration is less than 5 years old, some practitioners prefer filing an opposition during the application stage (if timing allows) rather than waiting for registration and filing cancellation, because it's slightly easier to win on certain grounds pre-registration and it avoids the registrant getting the advantages of a certificate. However, once a mark is registered (and especially if you missed the opposition window), cancellation is the remedy.

TTAB Procedure and Timeline (Oppositions & Cancellations)

Once an opposition or petition to cancel is filed and the TTAB institutes the proceeding, the **case schedule** is set in a scheduling order. An opposition and a cancellation follow the same litigation-like procedure:

- Pleadings: The Opposer/Petitioner files the Notice of Opposition or Petition to Cancel (this is the complaint, stating the grounds and facts). The Applicant/Registrant must file an Answer (usually due 40 days from institution) denying the allegations, and may raise defenses or counterclaims (e.g. the opposer's own mark is invalid) (<u>Microsoft Word - Overview of TTAB</u> <u>Oppositions.doc</u>). After pleadings, the issues are joined.
- Discovery Conference: Early in the case (within roughly 30 days after answer), the parties must confer (usually by phone) to plan the discovery process (Microsoft Word Overview of TTAB Oppositions.doc). They discuss settlement possibilities, whether to modify any default deadlines, arrangements for disclosures, etc. The TTAB encourages stipulations to streamline things. U.S. attorneys will handle this conference, but foreign clients should be aware it happens and it's an opportunity to discuss settlement before expenses mount.



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

- Initial Disclosures: Each party must exchange initial information (within 30 days of the discovery opening) identifying individuals and documents that may have relevant information (Microsoft Word Overview of TTAB Oppositions.doc). This is like U.S. federal court rules (FRCP 26). Formal discovery then begins each side can use tools like interrogatories, document requests, requests for admissions, and depositions to gather evidence from the other side (Microsoft Word Overview of TTAB Oppositions.doc). In TTAB cases, there are some limits (for example, up to 75 interrogatories are allowed, which differs from the usual 25 in court) (Microsoft Word Overview of TTAB Oppositions.doc). Discovery lasts for a set period (often 6 months initially) (Microsoft Word Overview of TTAB Oppositions.doc) and can be extended by agreement or motion. Foreign parties can be deposed, although if a witness is outside the U.S., depositions are typically taken by written questions unless the parties agree otherwise or the Board orders oral deposition for good cause (Microsoft Word Overview of TTAB Oppositions.doc).
- Motions Practice: During discovery, parties may file motions e.g. a motion to compel if the other side isn't providing requested info, or a motion for summary judgment if one believes there's no genuine dispute of material fact and they should win as a matter of law. Summary judgment is relatively common in TTAB if, for instance, an opposer thinks an applicant has no evidence of use or intent, etc., but the Board will deny it if there are factual disputes requiring a trial. Settlement talks often occur during discovery as well, and the Board is usually willing to suspend proceedings if the parties are negotiating.
- Trial Phase (Testimony Periods): If the case isn't settled or disposed of on motions, it proceeds to trial – but unlike a typical trial, this is done through exchange of evidence and testimony on paper over several weeks. The Board's scheduling order provides sequential testimony periods: first the Opposer/Petitioner's 30-day testimony period, then the Applicant/Respondent's



Expert U.S. IP Solutions for Global Success

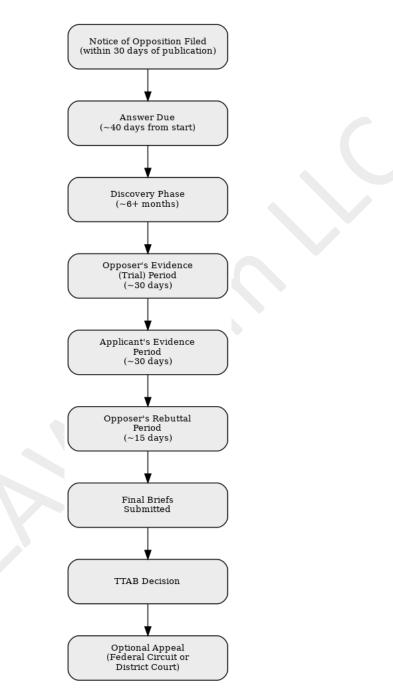
30-day testimony period, and then the Opposer's 15-day rebuttal period (<u>How a</u> <u>TTAB Trademark Opposition or Cancellation Works and What It Costs | Stake</u>). During these periods, parties submit their evidence. Evidence can be submitted in a few ways:

- By written testimony (affidavits or declarations) or by taking deposition testimony (with a court reporter) of witnesses, including experts if any. For example, the opposer might submit a witness statement from a manager about the company's use of its mark, and might also take a discovery deposition of the applicant and use parts of that as evidence.
- By filing documents and other evidence under a Notice of Reliance (this is a TTAB mechanism to introduce certain types of evidence like printed publications, official records, or the other party's discovery responses, without a live witness) (How a TTAB Trademark Opposition or Cancellation Works and What It Costs | Stake).
- Evidence must be relevant to the issues (e.g. to prove likelihood of confusion, the opposer will submit evidence of the similarity of marks and overlap of goods, maybe market evidence of actual confusion or the fame of their mark, etc.). The applicant may submit evidence to counter these claims.
- Importantly, if the opposer owns a prior registration being asserted, they
 often introduce copies of their registration from the USPTO database as
 evidence. If the opposer's standing is based on common law use, they need
 to prove they have a protectable trademark and priority.

Each side gets its turn: the **Opposer/Petitioner**, who bears the burden of proof, goes first, then the Applicant/Registrant presents their defense evidence, and then the Opposer can present rebuttal evidence limited to refuting the other side's points.



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com







ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

As shown above, a TTAB proceeding moves through set phases from the filing of the opposition/petition, through the answer, discovery, testimony periods, and finally to briefing and decision. In a straightforward case (without unusual delays), an opposition or cancellation often takes on the order of 18–24 months from start to finish (How a TTAB Trademark Opposition or Cancellation Works and What It Costs | Stake). The *plaintiff* (opposer or petitioner) carries the burden to prove its claims, such as likelihood of confusion or abandonment, by a preponderance of the evidence. Both sides have equal opportunities to present evidence during their respective periods. This structured, paper-based trial process is unique to TTAB and is one reason foreign counsel may find it unfamiliar – it is litigation, but without live courtroom proceedings.

- Trial Briefs: After the evidence submission windows, the case moves to final briefing. The Opposer/Petitioner files an opening trial brief (written argument) first, summarizing the evidence and law in its favor. Then the Applicant/Registrant files its brief in response. Finally, the Opposer can file a reply brief addressing points from the other side. These briefs are critical; they are the parties' opportunity to assert how the evidence satisfies (or fails to satisfy) the legal elements. For instance, in a likelihood of confusion opposition, the briefs will go factor by factor (the *DuPont* factors) arguing about similarity of marks, relatedness of goods, strength of the prior mark, etc., based on the record. TTAB judges read these briefs closely.
- Oral Hearing (Optional): Either party may request an oral hearing after briefing (within a certain time frame). If requested, the Board will schedule a hearing (often by video conference these days) where each side gets typically about 30 minutes to present their arguments to a panel of three TTAB judges and answer the judges' questions. Oral hearings are optional and many TTAB cases are decided on the briefs alone (How a TTAB Trademark Opposition or Cancellation Works and What



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

<u>It Costs | Stake</u>). If a case is particularly complex or significant, an oral hearing can be beneficial to emphasize key points.

Decision: The TTAB panel will issue a written decision usually a few months after briefing (timeline can range, perhaps 3 to 6 months on average, sometimes longer given TTAB's caseload). The decision will either sustain the opposition/cancellation (meaning the opposer/petitioner wins – the application is refused or the registration is cancelled) or dismiss it (meaning the applicant/registrant wins – the application can proceed to registration or the registration remains intact). The written opinion often includes findings on each ground and an analysis of the evidence. TTAB decisions can be precedential or not precedential; most are not precedential (i.e. they resolve the dispute but are not binding authority for future cases, though they can be persuasive).

If the **opposer/petitioner wins**, the opposed application will not register (or the registration is cancelled). If the **applicant/registrant wins**, the application will proceed to registration (or the registration remains in force). Each party typically bears its own costs (there's no monetary damage award in TTAB cases, and attorney fees are only awarded in extraordinary cases of bad faith).

Appeals of USPTO Decisions (Ex Parte Appeals)

The TTAB also handles **appeals by applicants** when an examiner has finally refused an application. This is a different animal from oppositions/cancellations: it's **ex parte**, involving only the applicant (as appellant) and the USPTO examining attorney (as essentially the opposing side, although they don't actively argue in a trial sense, they just maintain the refusal in their brief). Here's how appeals work:

• An appeal is initiated by filing a **Notice of Appeal** with the TTAB through ESTTA, along with the appeal fee, **within the deadline** after a final Office Action (generally



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

3 months, extendible to 6, under current rules) (Section 1(a) timeline | USPTO). Historically it was 6 months as a matter of course (<u>At a glance: trademark</u> registration and use in the USA - Lexology), and many sources still reference a six-month deadline. Practitioners must check the current USPTO timelines, but suffice to say an appeal must be filed promptly after final refusal.

- Once the appeal is instituted, the applicant (now "Appellant") must submit an Appeal Brief within the time set (usually 60 days from the notice of appeal) (At a glance: trademark registration and use in the USA Lexology). This brief lays out the legal arguments why the refusal was wrong. For example, if the refusal was based on likelihood of confusion, the brief will argue why confusion is not likely, citing precedent and distinguishing the cited registration. If it was a descriptiveness refusal, the applicant argues the mark is suggestive or that it has acquired distinctiveness, etc. No new evidence is generally allowed with the appeal brief the evidence is the record that was in the application file (though one can sometimes file a request to remand to add new evidence if there's a compelling reason).
- The examining attorney then files an Examiner's Brief (sometimes called the Office's brief) in response, defending the refusal and pointing to evidence on record supporting it. The applicant may file a Reply Brief to address arguments raised by the examiner. After briefing, the case is ready for decision by the TTAB.
- Optionally, the applicant can request an oral argument before the Board (and in ex parte cases, the examining attorney will also attend to present the USPTO's position). Oral hearings in appeals are also optional and many appeals are decided on the briefs alone.
- The TTAB will issue a written decision on the appeal. It can affirm the refusal (meaning the refusal stands and the application will go abandoned) or reverse the refusal (meaning the USPTO is ordered to withdraw the refusal and move the application forward to publication/registration). Partial affirmances are also



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

possible (if multiple issues were on appeal, e.g. a descriptiveness refusal and a disclaimer requirement, the Board might reverse one and affirm the other).

Appeals are an important remedy when an examiner's decision is believed to be incorrect or too strict. Statistically, TTAB appeals can be challenging to win (many refusals are upheld, especially on issues like likely confusion), but **reversals do happen**, particularly on nuanced issues of similarity or distinctiveness. For example, in one recent case the TTAB **reversed a Section 2(d) refusal** for the mark "CREATIVE HOME IDEAS," finding it not confusingly similar to two cited registrations ("CREATIVE HOME" and "HOME IDEAS") because those phrases were weak and common in the field (<u>The TTABlog®</u>: <u>TTAB Reverses Section 2(d) Refusal of "CREATIVE HOME IDEAS" in view of Weakness of Two Cited Marks</u>). The Board agreed that the combination "CREATIVE HOME IDEAS" was sufficiently distinct when considering the weak nature of the terms. This illustrates that a well-supported argument can succeed on appeal, especially if evidence (like many third-party uses or registrations of similar terms) is put into the record to show a crowded field (<u>The TTABlog®</u>: <u>TTAB Reverses Section 2(d) Refusal of "CREATIVE HOME IDEAS"</u> in view of Weakness of Two Cited Marks) (<u>The TTABlog®</u>: <u>TTAB Reverses Section 2(d)</u> Refusal of "CREATIVE HOME IDEAS" in view of Weakness of Two Cited Marks).

If an appeal is unsuccessful (the refusal is affirmed), the applicant's options are either to abandon the application or to **seek judicial review** (see below). If the appeal is successful (refusal reversed), the application usually moves forward (often to publication) as if the refusal had never happened.

Decisions and Further Appeals (Going to Court)

Whether it's an opposition, cancellation, or an ex parte appeal, a final decision of the TTAB is **not necessarily the end** if the losing party wants to continue the fight. U.S. law provides for two avenues of appeal from TTAB decisions (<u>At a glance: trademark</u>)



Expert U.S. IP Solutions for Global Success

registration and use in the USA - Lexology) (At a glance: trademark registration and use in the USA - Lexology):

- Appeal to the U.S. Court of Appeals for the Federal Circuit: This is a direct appeal to a federal appellate court in Washington, D.C. that specializes in IP cases. It is essentially a review of the TTAB decision on the record; no new evidence is allowed. The Federal Circuit gives deference to the TTAB's factual findings (they will uphold them if supported by substantial evidence) but reviews legal conclusions (like the ultimate likelihood of confusion determination) de novo (anew). To do this, the appellant files a notice of appeal (within 60 days of the TTAB decision typically (At a glance: trademark registration and use in the USA Lexology)) and the case is briefed and argued like any appeal. The Federal Circuit can affirm or reverse the TTAB. This path is often chosen for its relative speed and lower cost compared to a new trial.
- Civil Action in District Court: Alternatively, the dissatisfied party can file a new civil lawsuit in an appropriate U.S. district court (often the Eastern District of Virginia, where the USPTO is located, or another venue with jurisdiction) within the same deadline (At a glance: trademark registration and use in the USA Lexology) (At a glance: trademark registration and use in the USA Lexology). (At a glance: trademark registration and use in the USA Lexology). This is essentially a de novo process: the case starts fresh in court. The big difference is the option to introduce new evidence that wasn't presented to the TTAB. The district court will consider the TTAB record and any new evidence, and will make its own findings. This route can be beneficial if one party feels they didn't have the evidence needed at the TTAB stage (for example, maybe new evidence of actual confusion came to light, or a survey was done later, etc.). The downside is it's essentially a full lawsuit (with discovery, possibly live testimony, etc.), which is time-consuming and costly. If a party chooses the district court route, it forfeits the direct appeal route.



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

Once the case goes to a court, the court's decision can itself be appealed to the relevant Court of Appeals and even the Supreme Court in rare cases.

For most foreign counsel's purposes, it's enough to know that a TTAB loss isn't always final – clients have an opportunity to take the matter to court if the stakes are high. However, many TTAB cases end at the Board level without further court action, especially if the parties reach a business resolution or the TTAB's decision is deemed acceptable.

Examples of TTAB Successes and Strategies

ZYL Law has handled numerous TTAB proceedings on behalf of international clients. Below are a few **illustrative examples** (anonymized for confidentiality) demonstrating how TTAB actions can protect a client's interests:

- Overcoming a Likelihood-of-Confusion Refusal (TTAB Appeal): Our firm represented a European company whose U.S. trademark application was refused registration due to an alleged conflict with a similar registered mark. We filed an appeal with the TTAB, arguing that the cited mark was weak in the marketplace and that our client's mark created a different commercial impression. By submitting evidence of many third-party registrations and uses of similar elements, we showed that consumers are able to distinguish among them. The TTAB reversed the refusal, allowing the application to proceed to publication (The TTABlog®: TTAB Reverses Section 2(d) Refusal of "CREATIVE HOME IDEAS" in view of Weakness of Two Cited Marks). This victory meant our client obtained its U.S. registration despite the initial examiner's rejection.
- Cancellation for Abandonment: In another case, we filed a petition to cancel a U.S. registration that was blocking our China client's desired mark. The registered mark had not been used in commerce for over three years. During the TTAB cancellation proceeding, we gathered evidence (internet archives, business)



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

records) showing the registrant stopped using the mark and had no intent to resume use. The registrant failed to provide credible evidence to rebut the statutory presumption of abandonment (non-use for 3+ years) (<u>At a glance: trademark registration and use in the USA - Lexology</u>). The TTAB issued a decision to **cancel the registration for abandonment**, clearing the path for our client's mark to be registered. This outcome demonstrates how a cancellation action can be used to remove "dead" trademarks from the register when they stand in the way of bona fide new uses.

Successful Opposition (Protecting a Famous Mark): ZYL Law also helped an Asian technology company successfully oppose a U.S. application that copied the distinctive part of its brand name. We filed a notice of opposition on grounds of likelihood of confusion and dilution, given our client's mark was famous in certain regions. Through evidence of our client's prior use and fame, as well as the similarities between the marks, we persuaded the applicant that the opposition would likely succeed. The applicant withdrew its application (an outcome sometimes achieved even before trial). The result: our client's well-known mark was safeguarded from an imitator seeking a U.S. registration. This example underscores that often the mere act of filing an opposition with solid grounds can compel a resolution.

Each case required a tailored strategy – from compiling evidence of marketplace conditions to leveraging procedural options (e.g., extensions, suspensions for settlement) to achieve the client's goal. TTAB practice is as much about legal argument as it is about **building a persuasive factual record** under the Board's rules.

Conclusion: Navigating TTAB Proceedings with Confidence



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

For foreign attorneys, TTAB proceedings may appear complex, but with knowledgeable U.S. counsel, they are manageable and follow a logical process. Here are some **practical tips** and takeaways:

- Watch for Deadlines: The 30-day opposition window is crucial (Fundamentals of the Trademark Trial and Appeal Board Opposition Process - The Rapacke Law Group). If your client's mark is published, monitor the Official Gazette or have U.S. counsel do so. Likewise, if your client might want to oppose another's mark, act within the allowed time or secure extensions. In TTAB litigation, meeting all discovery and briefing deadlines is equally critical; missing a deadline can severely harm a case (even lead to default judgment).
- Gather Strong Evidence: In oppositions/cancellations, success often hinges on evidence. If claiming confusion, prepare to show the similarity of marks and overlap of goods, and any proof of your mark's strength or fame (sales figures, advertising, recognition). If defending, gather evidence of differences or of the weakness of the opposer's mark (e.g., many others use similar terms). For abandonment claims, evidence of non-use (no products in commerce, no advertising, etc.) is key. Because live testimony isn't the norm, documentary evidence and sworn witness statements carry the day.
- Understanding Legal Standards: The TTAB applies legal tests such as the *DuPont* factors for likelihood of confusion, strict standards for fraud (proof of intent to deceive), etc. Work closely with U.S. counsel to frame the case according to these standards. For example, a foreign company might not realize that even if two logos look different, if their words sound alike and goods overlap, that's enough for confusion in the U.S. Likewise, "non-use" in a U.S. sense means no bona fide use in U.S. commerce evidence of use abroad won't save a U.S. registration from abandonment if there's no U.S. use.



ZYL LAW FIRM LLC 101 Nicoll Street, New Haven CT 06511 +1-203-551-1548 ip@zyllaw.com https://www.zyllaw.com

- No Damages, but Outcomes Matter: Remember, the TTAB will not award money or injunctions. The remedy is either allowing or refusing registration. However, those outcomes are often very valuable commercially. A registration gives nationwide rights and can deter others. Conversely, getting a competitor's registration cancelled can level the playing field (though it doesn't automatically stop them from using the mark, it removes the legal benefits of their registration). If a dispute is more about actual marketplace use/infringement, the parties might end up in court regardless. But many times, TTAB cases between businesses are proxy battles for market issues and can lead to global settlements.
- Appeal or Not?: If you get an unfavorable TTAB result, consider the client's goals. Sometimes losing an opposition just means the mark will register, but the parties might still fight in court over use. Other times, an appeal is worthwhile, for instance, if a valuable application was rejected and the client strongly disagrees, appealing to the Federal Circuit could overturn the refusal (with additional legal costs to weigh). It's a strategic decision where your U.S. partner counsel can advise on the likelihood of success and implications.

By understanding the structure of TTAB proceedings and collaborating with experienced U.S. trademark litigators, foreign counsel can ensure their clients' interests are well-represented. ZYL Law, for example, routinely partners with overseas firms to handle these U.S. trademark matters – from monitoring publications and filing oppositions or extensions, to conducting full TTAB trials and appeals. With careful navigation of TTAB procedures, international brands can **secure their U.S. trademark rights and referrals** confidently, knowing that even complex disputes can be resolved in this specialized forum. The TTAB may be a unique aspect of U.S. practice, but it ultimately exists to protect trademark owners and the public from improper registrations, aligning with the global principle of maintaining a fair and reliable trademark register.